

**Generation of radiation in
Volume Free Electron Lasers
and problems of
mathematical modeling of
nonlinear processes in such
generators**

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Table 1: Free Electron Lasers (2004)

(W.B.Colson et al. *Proc. 2004 FEL Conference, 706-710*)

EXISTING FELs	λ (μm)	σ_x (ps)	E(MeV)	I(A)	EXISTING FELs	λ (μm)	σ_x (ps)	E(MeV)	I(A)
Italy (FEL-CAT)	760	15-20	1.8	5	Osaka (iFEL1)	5.5	10	33.2	42
UCSB (mm FEL)	340	25000	6	2	Tokyo (KHI-FEL)	4-16	2	32-40	30
Novosibirsk (RTM)	120-180	70	12	10	Nieuwegein (FELIX)	3-250	1	50	50
Korea (KAERI-FEL)	97-1200	25	4.3-6.5	0.5	Duke (MARKIII)	2.7-6.5	3	31-41.5	20
Himeji (LEENA)	65-75	10	5.4	10	Stanford (SCAFEL)	3-13	0.5-12	22-45	10
UCSB (FIR FEL)	60	25000	6	2	Orsay (CLIO)	3-53	0.1-3	21-50	80
Osaka (LE/ILT)	47	3	8	50	Vanderbilt (FELI)	2.0-9.8	0.7	43	50
Osaka (ISIR)	40	30	17	50	Osaka (iFEL2)	1.88	10	68	42
Tokai (JAERI-FEL)	22	2.5-5	17	200	Nihon (LEBRA)	0.9-6.5	<1	58-100	10-20
Eruberres (ELSA)	20	30	18	100	UCLA-BNL (VISA)	0.8	0.5	70.9	250
Osaka (FELI4)	18-40	10	33	40	BNL (ATF)	0.6	6	50	100
UCLA-Kurchatov	16	3	13.5	80	Dortmund (FELICITAI)	0.42	50	450	90
LANL (RAFEL)	15.5	15	17	300	BNL NSLS (DUVFEL)	0.1	0.7	300	500
Stanford (FIREFLY)	15-80	1-5	15-32	14	Orsay (Super-ACO)	0.3-0.6	15	800	0.1
UCLA-Kurchatov-LANL	12	5	18	170	Osaka (iFEL3)	0.3-0.7	5	155	60
Maryland (MIRFEL)	12-21	5	9-14	100	Okazaki (UVSOR)	0.2-0.6	6	607	10
Beijing (BFEL)	5-20	4	30	15-20	Tsukuba (NIJ-IV)	0.2-0.6	14	310	10
Dresden (ELBE1)	3-22	10	40	8	Italy (ELETTRA)	0.2-0.4	28	1000	150
Korea (KAERI HP FEL)	3-20	10-20	20-40	30	Duke (OK-4)	0.193-2.1	0.1-10	1200	35
Newport News (IR demo)	3, 6, 10	0.2	160	270	ANL (APSFEL)	0.13	0.3	399	400
Darmstadt (FEL)	6-8	2	25-50	2.7	DESY (TTF1)	0.08-0.12	0.04	250	3000
BNL (HGHG)	5.3	6	40	120					

Table 2: Proposed Free Electron Lasers (2004)

PROPOSED FELs	$\lambda(\mu\text{m})$	$\sigma_z(\text{ps})$	E(MeV)	I(A)
Tokyo (FIR-FEL)	300-1000	5	10	30
Netherlands (TEUFEL)	180	20	6	350
Rutgers (IRFEL)	140	25	38	1.4
Novosibirsk (RTM1)	3-20	10	50	20-100
Dresden (ELBE)	30-750	1-5	10-40	30
Daresbury (4GLS-IRFEL)	5-100	0.2-1	50	100
Novosibirsk (RTM)	2-11	20	98	100
Frascati (SPARC)	0.533	0.1	142	500
TJNAF (UVFEL)	0.25-1	0.2	160	270
Hawaii (FEL)	0.3-3	2	100	500
Harima (SUBARU)	0.2-10	26	1500	50
Shanghai (SDUV-FEL)	0.5-0.088	1	300	400
Frascati (COXA)	0.08	10	215	200
Daresbury (4GLS-VUV)	0.4-0.1	0.1-1	600	300
Daresbury (4GLS-XUV)	0.1-0.01	0.1-1	600	2000
Duke (OK-5, VUV)	0.03-1	0.1-10	1200	50
DESY (TTF2)	0.006	0.17	1000	2500
Italy (SPARX)	0.0015	0.1	2500	2500
BESSY (Soft X-ray)	0.0012	0.08	2300	3500
Trieste (FERMI)	0.001-0.1	0.1	3000	2500
RIKEN (SPring8 SCSS)	0.00036	0.5	1000	2000
MIT (Bates X-Ray FEL)	0.0003	0.05	4000	1000
SLAC (LCLS)	0.00015	0.07	14350	3400
DESY (TESLA)	0.0001	0.08	30000	5000
Pohang (PAL X-FEL)	0.0003	0.1	3000	4000

First lasing of Volume FEL (VFEL) in wavelength range $\lambda \sim 4 - 6 \text{ mm}$ (2001)

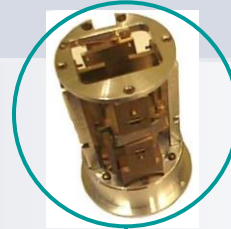


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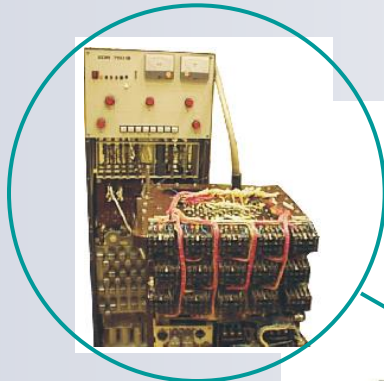
VFEL-10 keV (2001)



General view



Resonator



High voltage
power supply

Power supply for
magnetic system

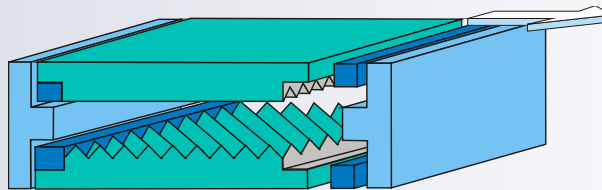
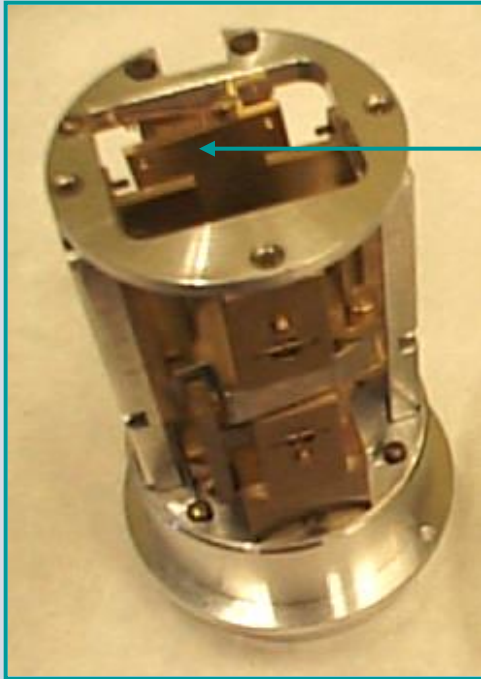


Electron gun

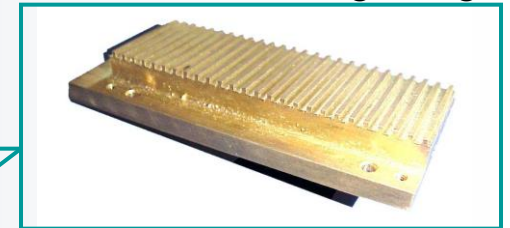
Vacuum setup

Resonator

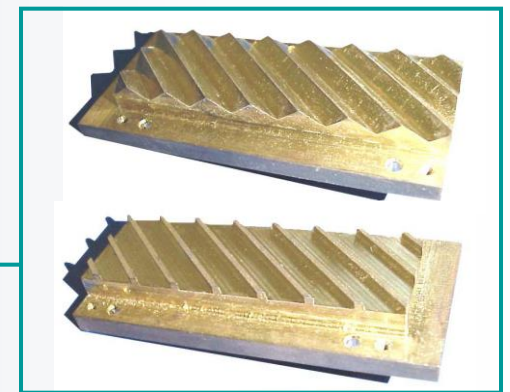
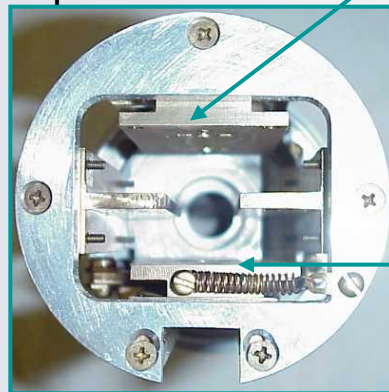
side view



diffraction gratings



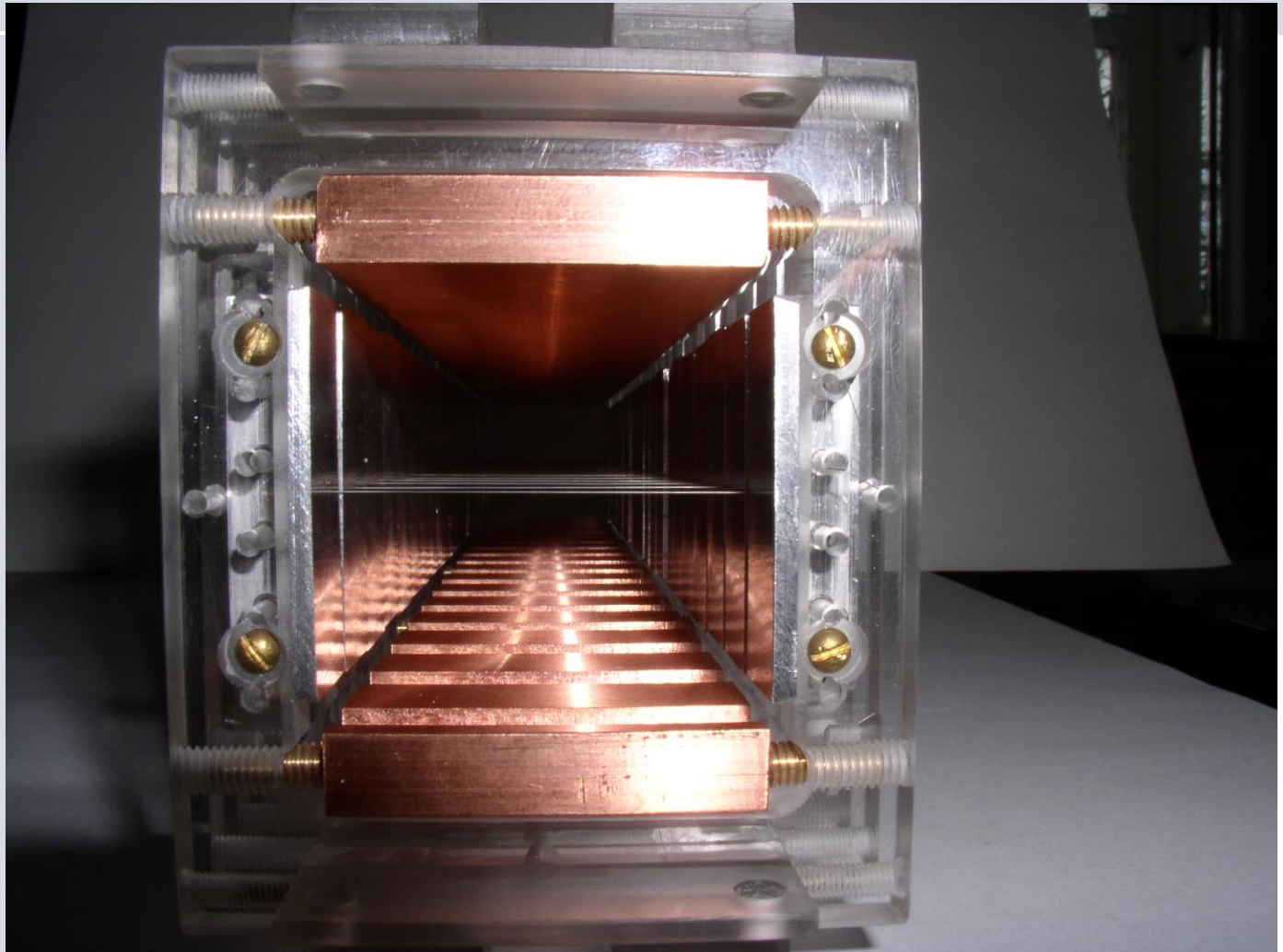
top view



VFEL-250 keV (2003)



“Grid” volume resonator



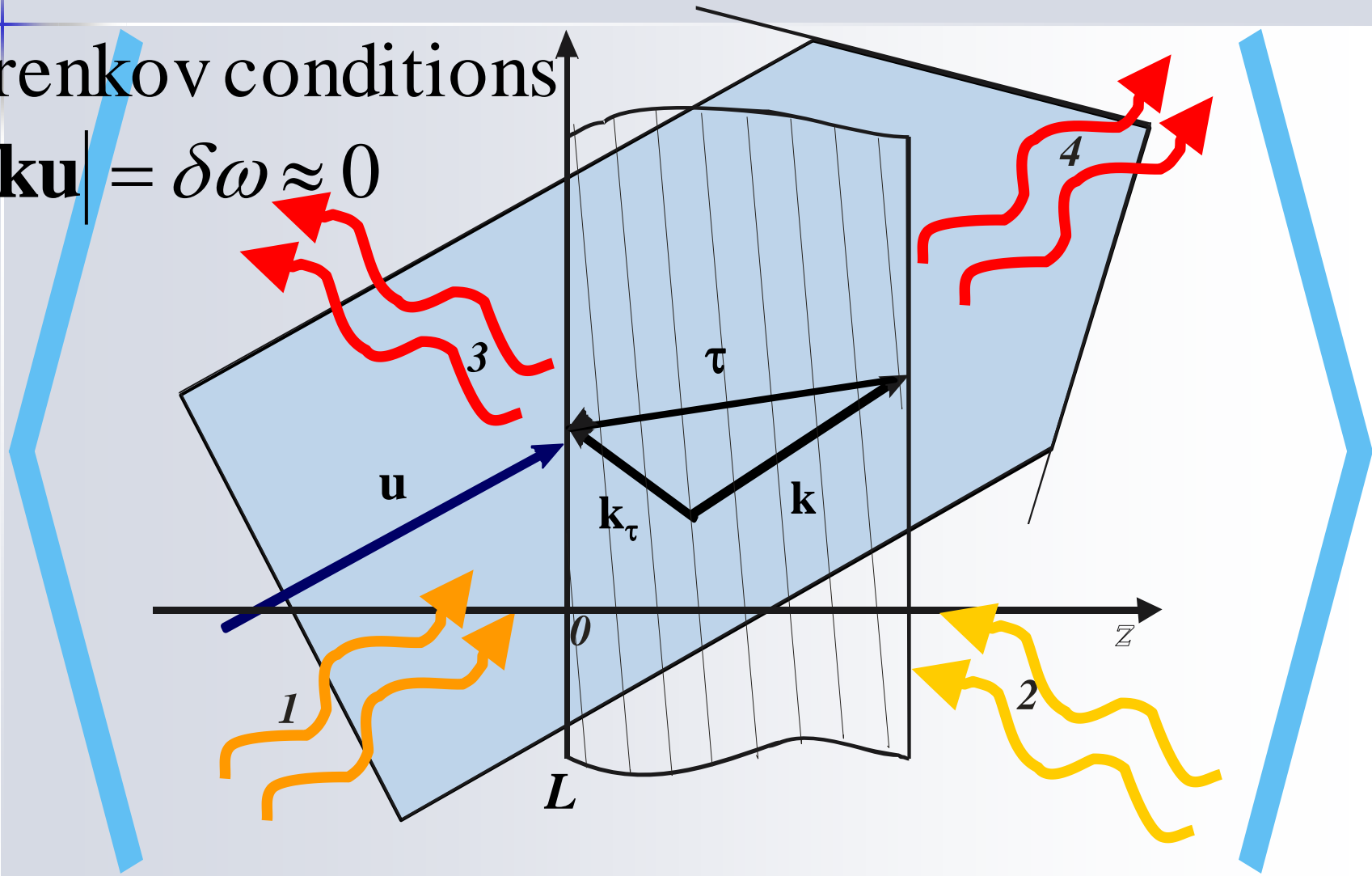
VFEL in Bragg geometry

Bragg conditions

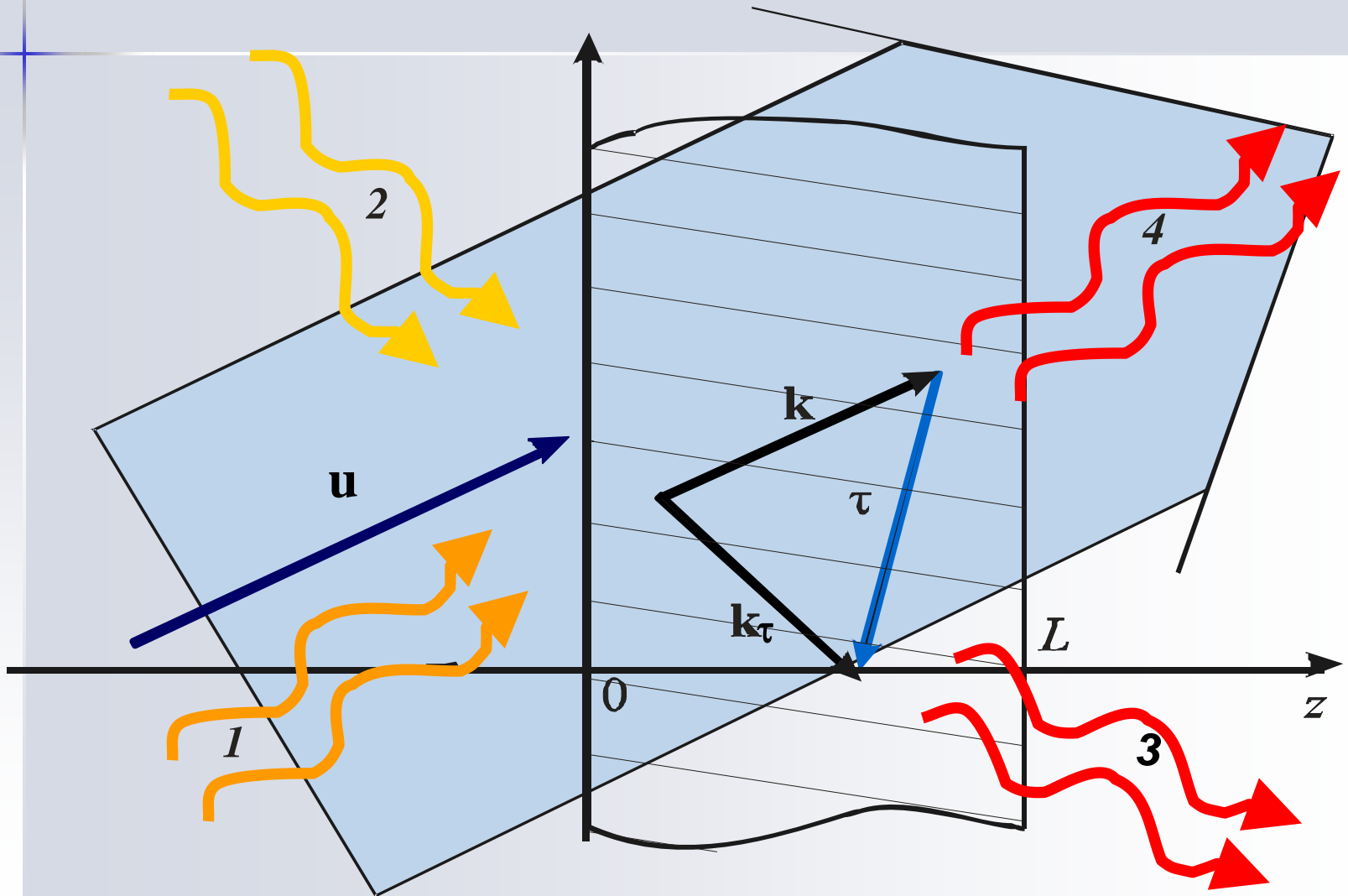
$$2\mathbf{k}\tau + \tau^2 \approx 0,$$

Cherenkov conditions

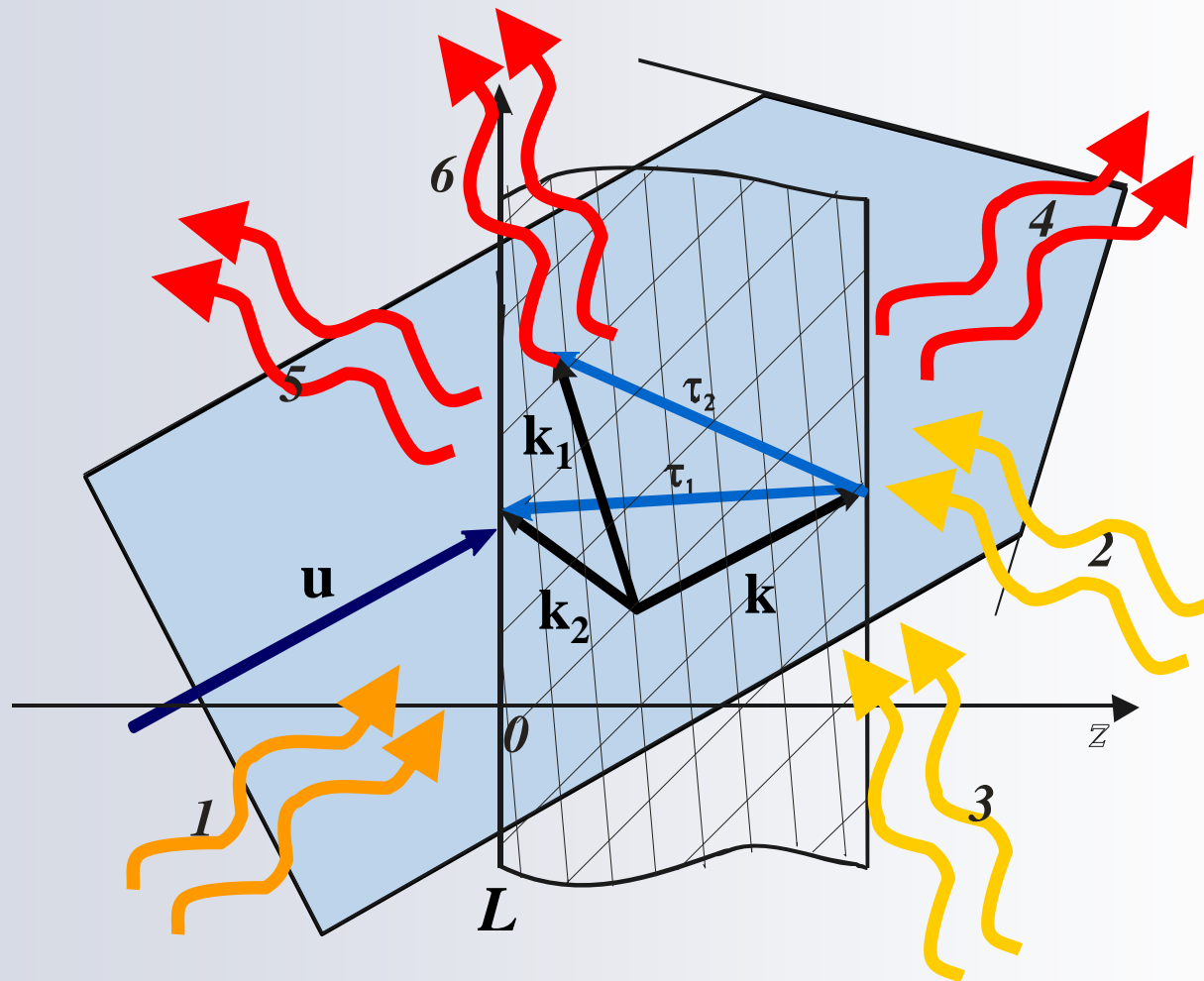
$$|\omega - \mathbf{k}\mathbf{u}| = \delta\omega \approx 0$$



Laue geometry

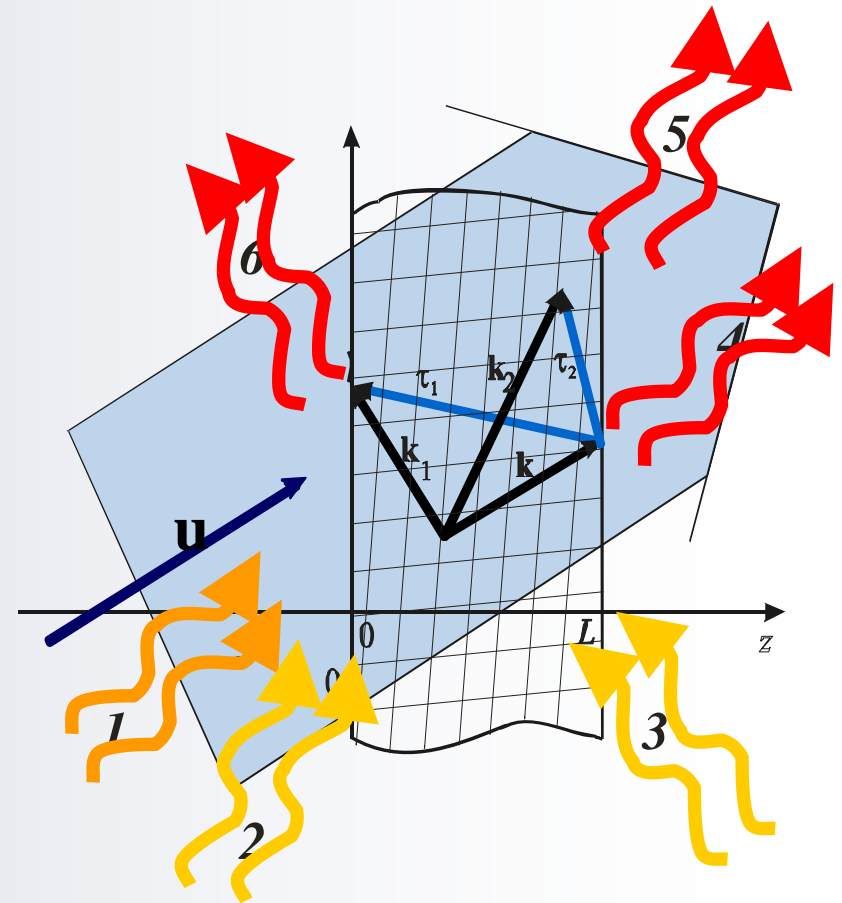
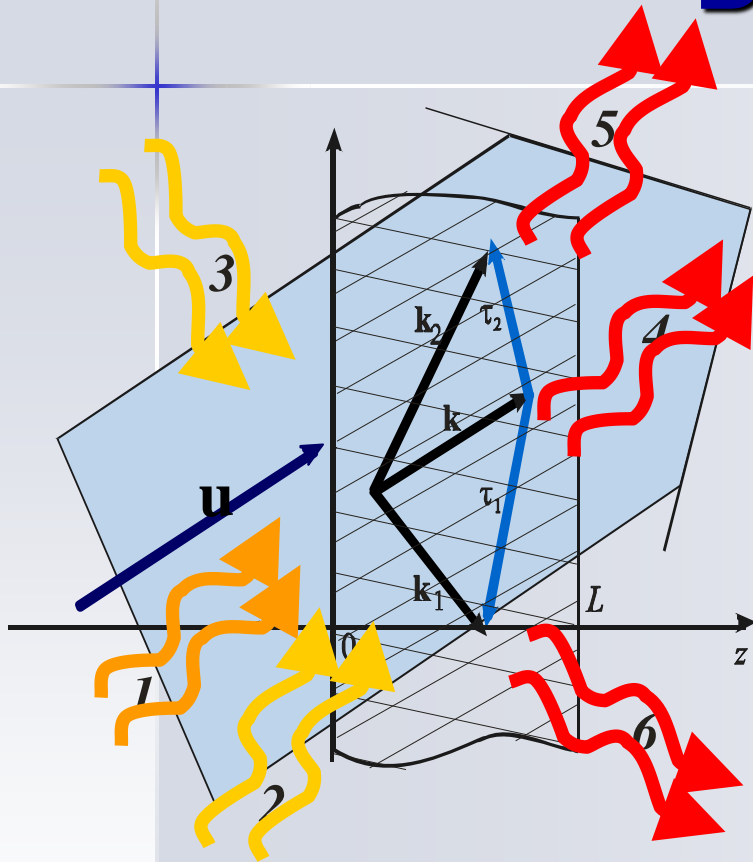


Three-wave VFEL, Bragg-Bragg geometry

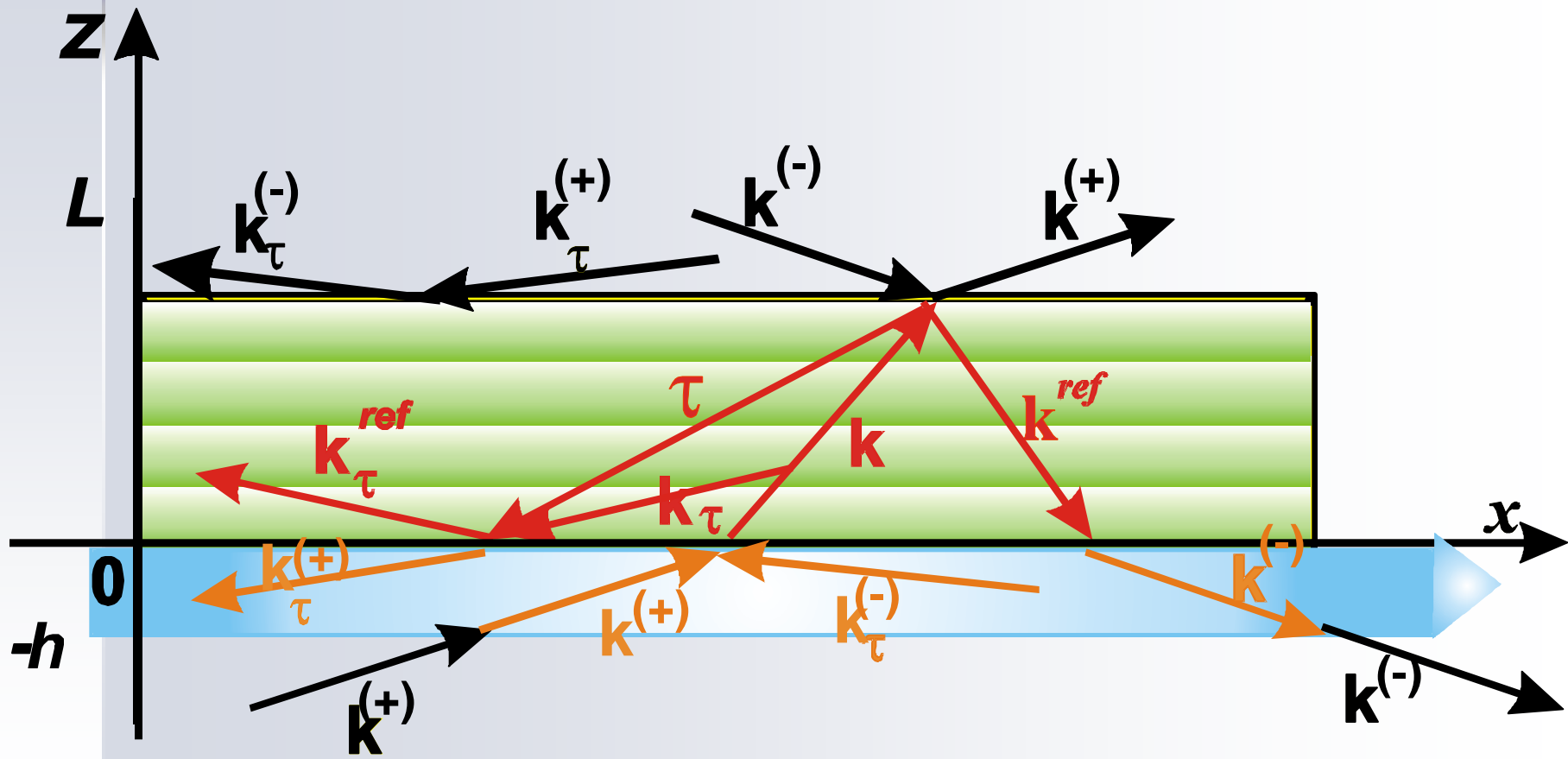


Laue-Laue geometry

Bragg-Laue geometry



Surface VFEL



Volume distributed feedback

- If **one mode** is in synchronism, the threshold current j :
- If **two modes are** in synchronism, the threshold current j :
- If **n modes are** in synchronism, the threshold current j :

$$j \sim \frac{1}{(kL)^3}$$

$$j \sim \frac{1}{(kL)^5}$$

$$j \sim \frac{1}{(kL)^{3+2(n-1)}}$$

We assume

$$kL \gg 1$$

Main equations

$$\Delta \mathbf{E} - \nabla(\nabla \mathbf{E}) - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = \frac{\partial \mathbf{j}_b}{\partial t}$$

$$\mathbf{E} = \mathbf{e}_\sigma (E e^{i(\mathbf{k}\mathbf{r} - \omega t)} + E_\tau e^{i(\mathbf{k}_\tau \mathbf{r} - \omega t)}),$$

$$\mathbf{j}_b = \mathbf{e}_\sigma j e^{i(\mathbf{k}\mathbf{r} - \omega t)},$$

In the common n – wave case :

$$\mathbf{E} = \mathbf{e} \sum_{i=1}^n E_i e^{i(\mathbf{k}_i \mathbf{r} - \omega t)}$$

System for three-wave VFEL:

$$\begin{aligned} \frac{\partial E_0}{\partial t} + \gamma_0 c \frac{\partial E_0}{\partial z} + 0.5i\omega l E_0 - 0.5i\omega\chi_1 E_1 - 0.5i\omega\chi_2 E_2 = \\ = 2\pi j\Phi \int_0^{2\pi} \frac{2\pi - p}{8\pi^2} \left(e^{-i\theta(t,z,p)} + e^{-i\theta(t,z,-p)} \right) dp, \end{aligned}$$

$$\frac{\partial E_1}{\partial t} + \gamma_1 c \frac{\partial E_1}{\partial z} - 0.5i\omega\chi_{-1} E_0 + 0.5i\omega l_1 E_1 - 0.5i\omega\chi_{2-1} E_2 = 0,$$

$$\frac{\partial E_2}{\partial t} + \gamma_2 c \frac{\partial E_2}{\partial z} - 0.5i\omega\chi_{-2} E_0 - 0.5i\omega\chi_{1-2} E_1 + 0.5i\omega l_2 E_2 = 0$$

System parameters:

$$l_i = \frac{k_i^2 c^2 - \omega^2 \varepsilon_0}{\omega^2}, \quad i = 0, 1, 2$$

$l = l_0 + \delta$, δ — **detuning from exact Cherenkov condition**

Simple initial and boundary conditions:

$$E_0 \Big|_{z=0} = E_0^0,$$

$$E_1 \Big|_{z=L_1} = E_1^0$$

$$E_2 \Big|_{z=L_2} = E_2^0,$$

$$E_j \Big|_{t=0} = 0$$

System for n-wave VFEL:

$$\frac{\partial \mathbf{E}}{\partial t} + \mathbf{A} \frac{\partial \mathbf{E}}{\partial z} + \mathbf{B} \mathbf{E} = \mathbf{F}(I),$$

$$\mathbf{E} = (E_j)^T, \quad j = 1, \dots, n$$

Boundary conditions including mirrors:

$$E_i \Big|_{z=L_i} = E_i^0 + \sum_{j \neq i} \alpha_j E_j \Big|_{z=L_j} \exp(i\varphi_j);$$

$$i = 1, \dots, n$$

Common boundary conditions:

$$\mathbf{B} \frac{\partial \mathbf{E}}{\partial t} + \sum_{i=2}^n \mathbf{P}_i \frac{\partial \mathbf{E}}{\partial x_i} + \mathbf{Q} \mathbf{E} = \mathbf{G}(\mathbf{j}, \mathbf{E}^0)$$

Equations for electron beam

$$\frac{d^2\theta}{dt^2} = \frac{e}{m\gamma^3} (\mathbf{e}_\sigma \mathbf{n}) \operatorname{Re}\{E \exp(i(\mathbf{k}_\perp \mathbf{r}_\perp + k_z z - \omega t))\},$$

$\theta(t, t_0, \mathbf{r}_\perp) = \mathbf{k}_\perp \mathbf{r}_\perp + k_z z - \omega t(z, t_0) -$
electron phase in a wave

$$\frac{d\theta(t, 0, p)}{dz} = k - \omega/u, \quad \theta(t, 0, p) = p,$$

$$t > 0, \quad z \in [0, L], \quad p \in [-2\pi, 2\pi]$$

Code VOLC - VFEL simulation

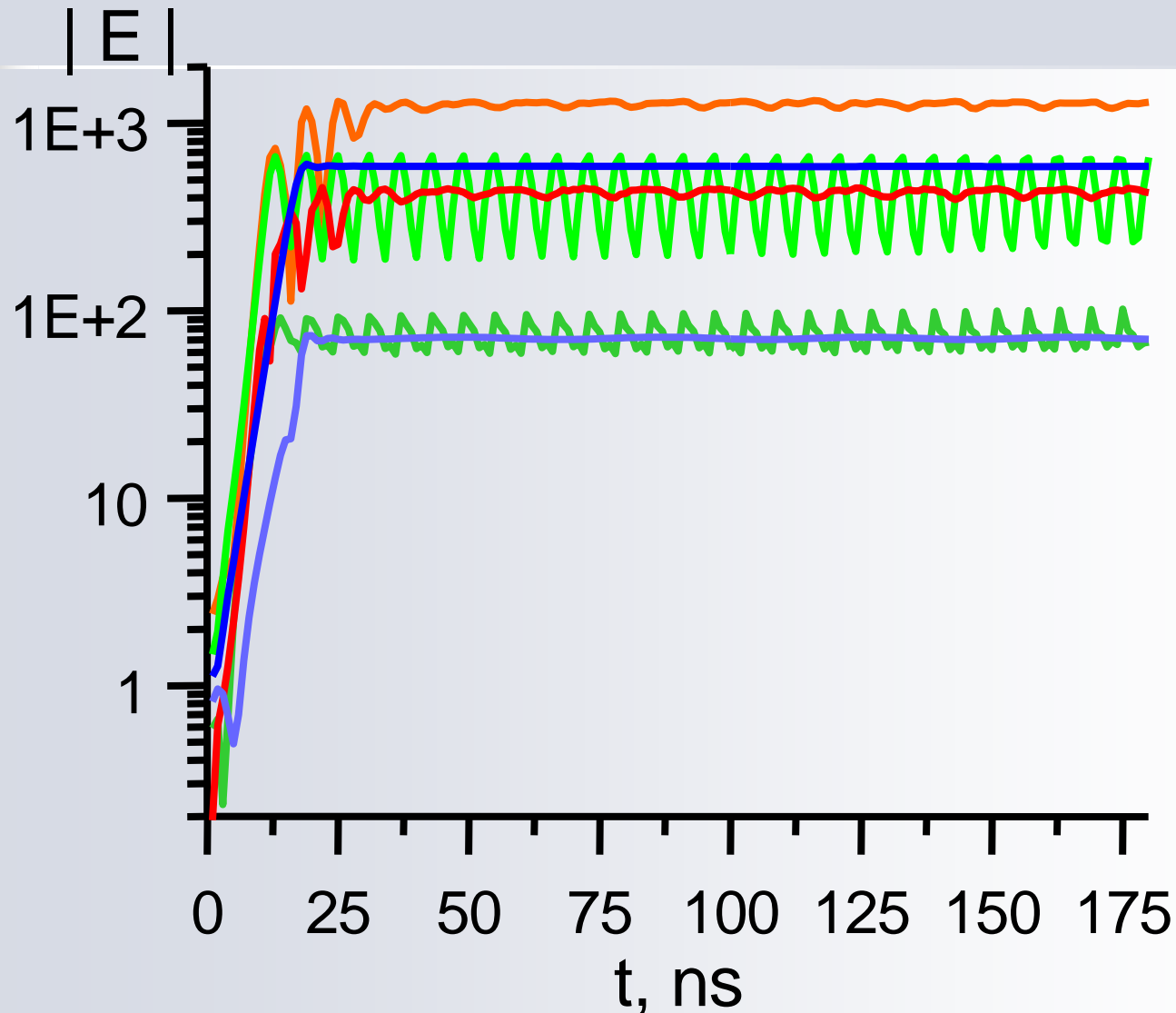
VOLC -> VFEL simulation

Menu Help

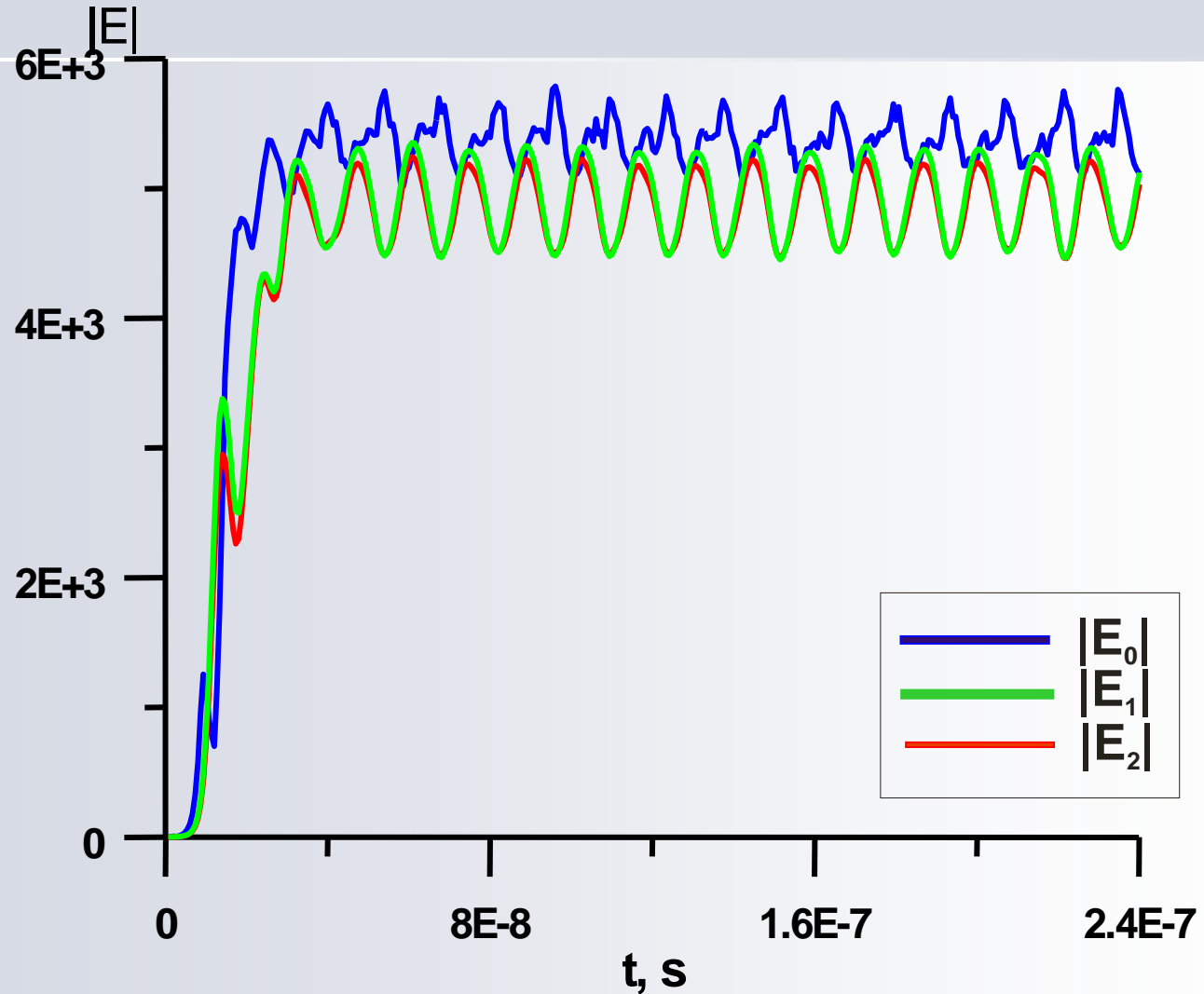
Wave length λ (cm)	<input type="text" value="3"/>		
Current density j (A/cm²)	<input type="text" value="2200"/>		
Lorenz-factor γ	<input type="text" value="2.17"/>		
Target thickness L (cm)	<input type="text" value="20"/>	Grid dimension	
Time T (ns)	<input type="text" value="240"/>	Nz	<input type="text" value="500"/>
Number of waves	<input type="text" value="3"/>	Nt	<input type="text" value="360"/>
Diffraction assymetry factor $\beta_{0,1,2}$	<input type="text" value="0.9"/>	<input type="text" value="-10"/>	<input type="text" value="8"/>
Geometry parameter $l_{0,1,2}$	<input type="text" value="-0.035"/>	<input type="text" value="-0.169"/>	<input type="text" value="0.0116"/>
Deviation from Cherenkov synchronizm δ	<input type="text" value="-0.035"/>		
Incident waves	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
Fourier components of dielectric susceptibility (complex) :			
χ_0	<input type="text" value="0.4"/>	<input type="text" value="0"/>	
χ_{+1}	<input type="text" value="0.1"/>	<input type="text" value="0"/>	χ_{-1} <input type="text" value="0.1"/>
χ_{+2}	<input type="text" value="0.1"/>	<input type="text" value="0"/>	χ_{-2} <input type="text" value="0.1"/>
χ_{1-2}	<input type="text" value="0.1"/>	<input type="text" value="0"/>	χ_{2-1} <input type="text" value="0.1"/>
Coupling coefficients in reflection :			
Amplitudes α		Phases φ	
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<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
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✓ VFEL simulation

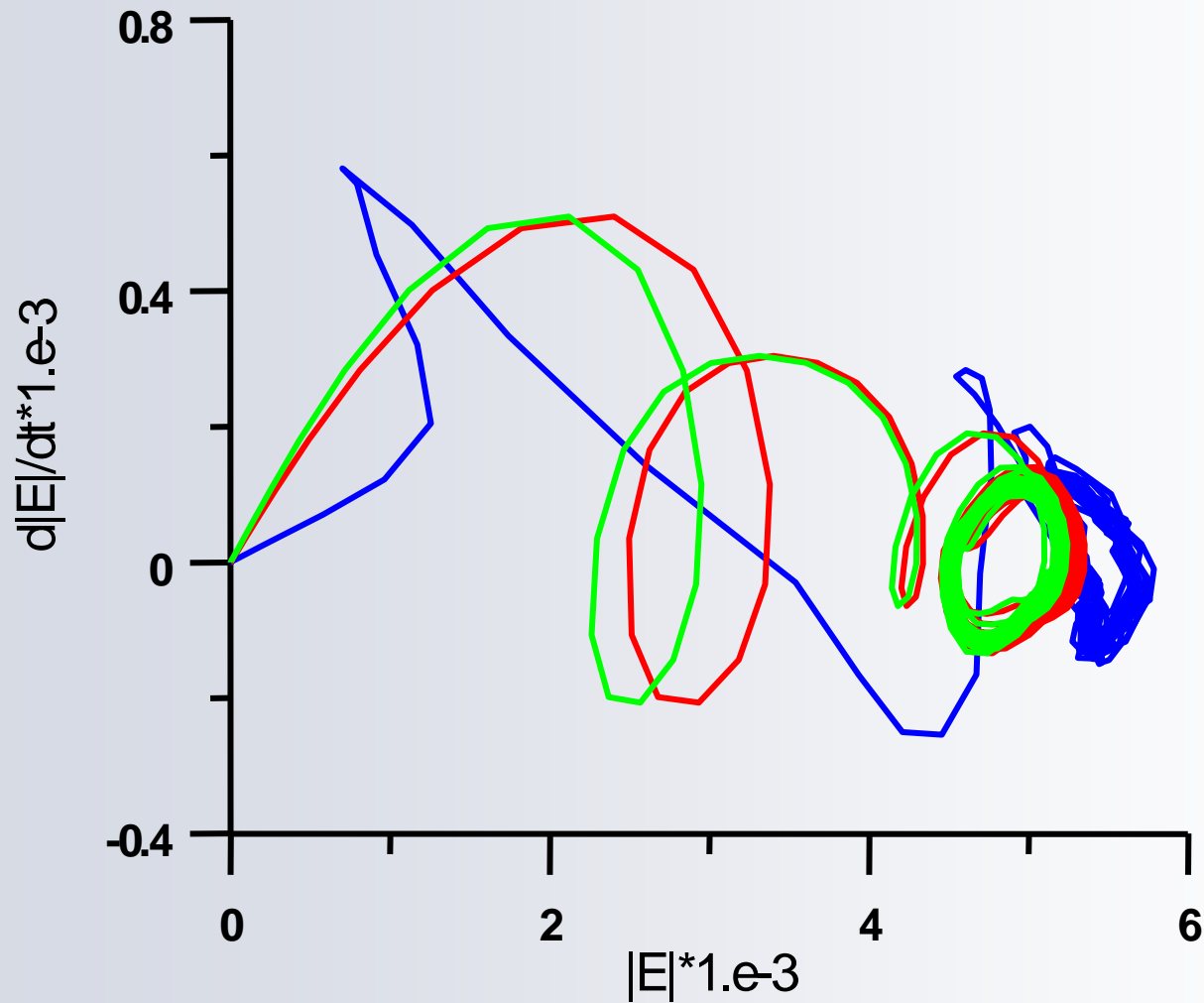
Establishment of nonstationary solution in two-wave geometry



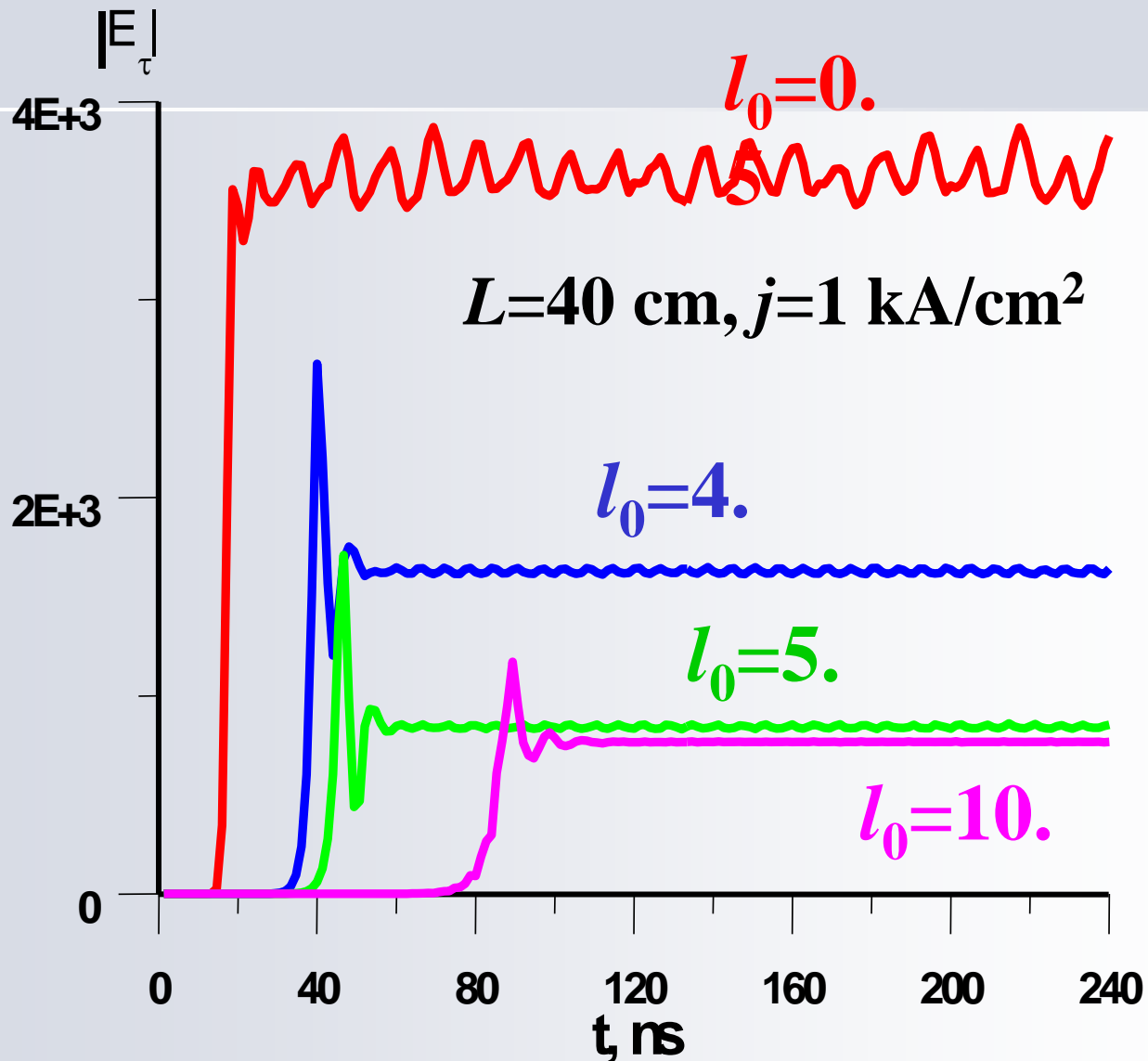
Periodic regime of VFEL intensity in three-wave geometry:



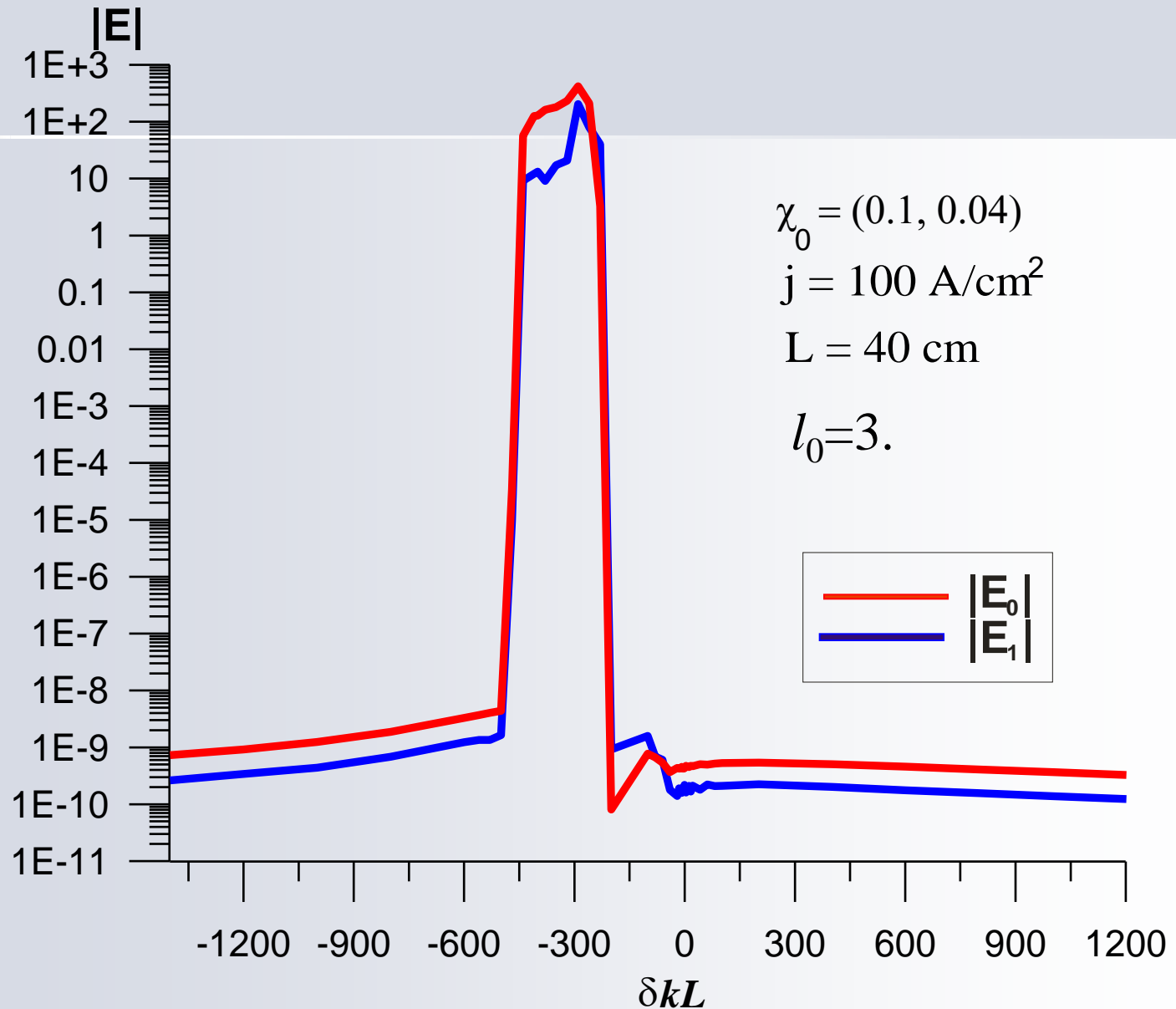
Phase space portrait



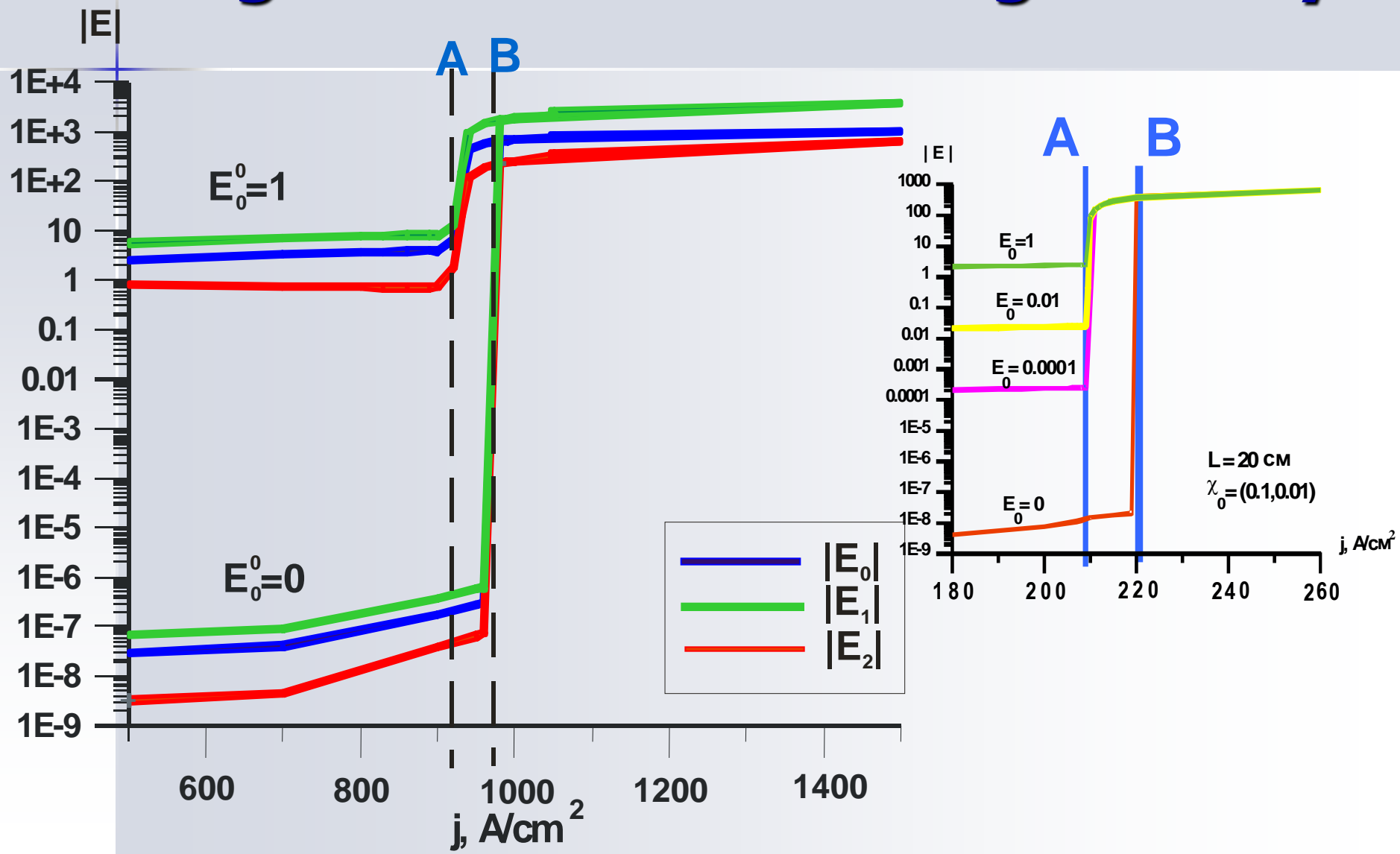
Simulation of BWT



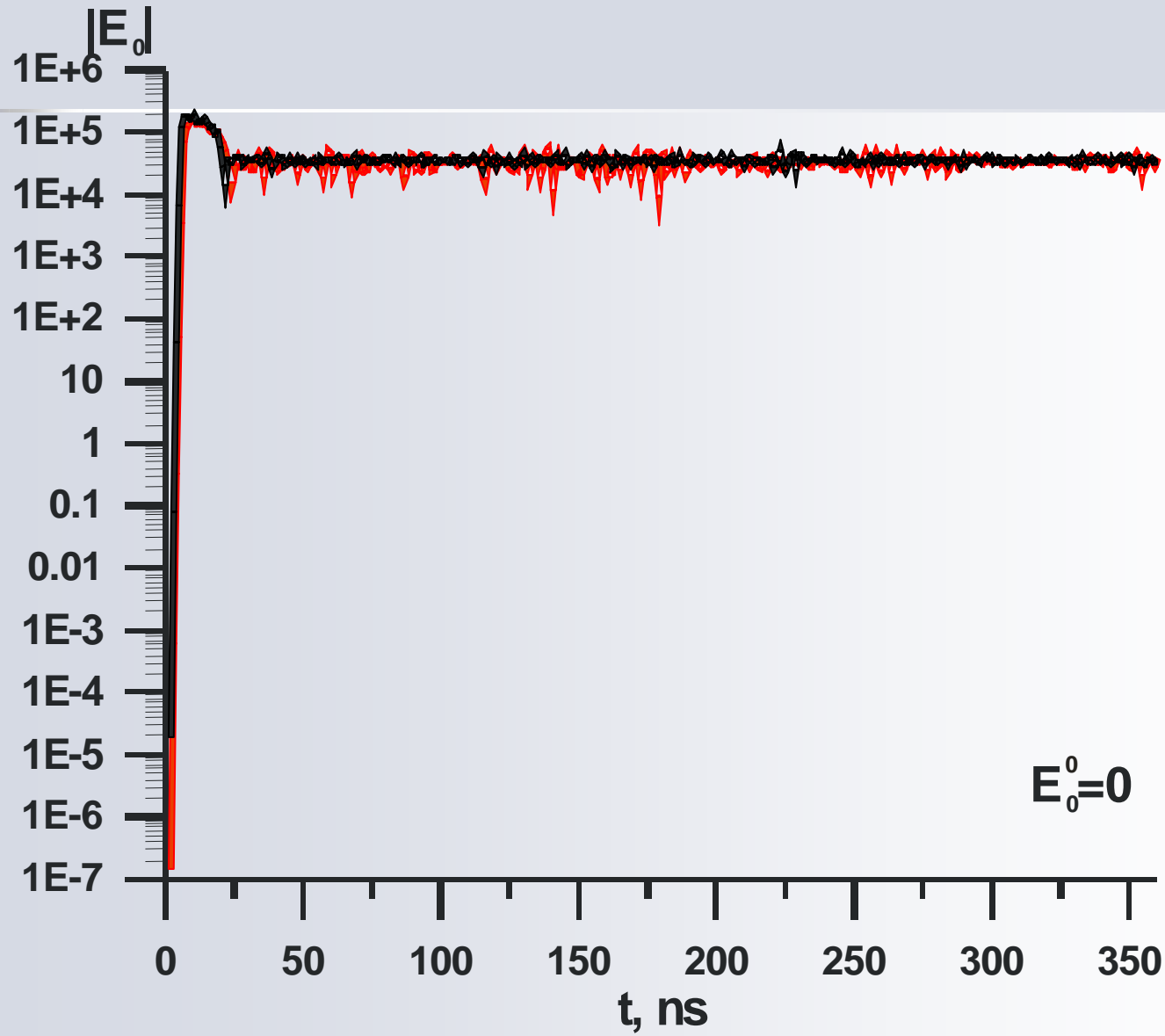
Simulation of Smith-Purcell radiation



Amplification and oscillation regimes in three-wave geometry



SASE regime simulation:



Dispersion equation:

$$l_0 l_1 l_2 - l_0 r_{12} - l_1 r_2 - l_2 r_1 - \chi_1 \chi_{-2} \chi_{2-1} - \chi_2 \chi_{-1} \chi_{1-2} = 0$$

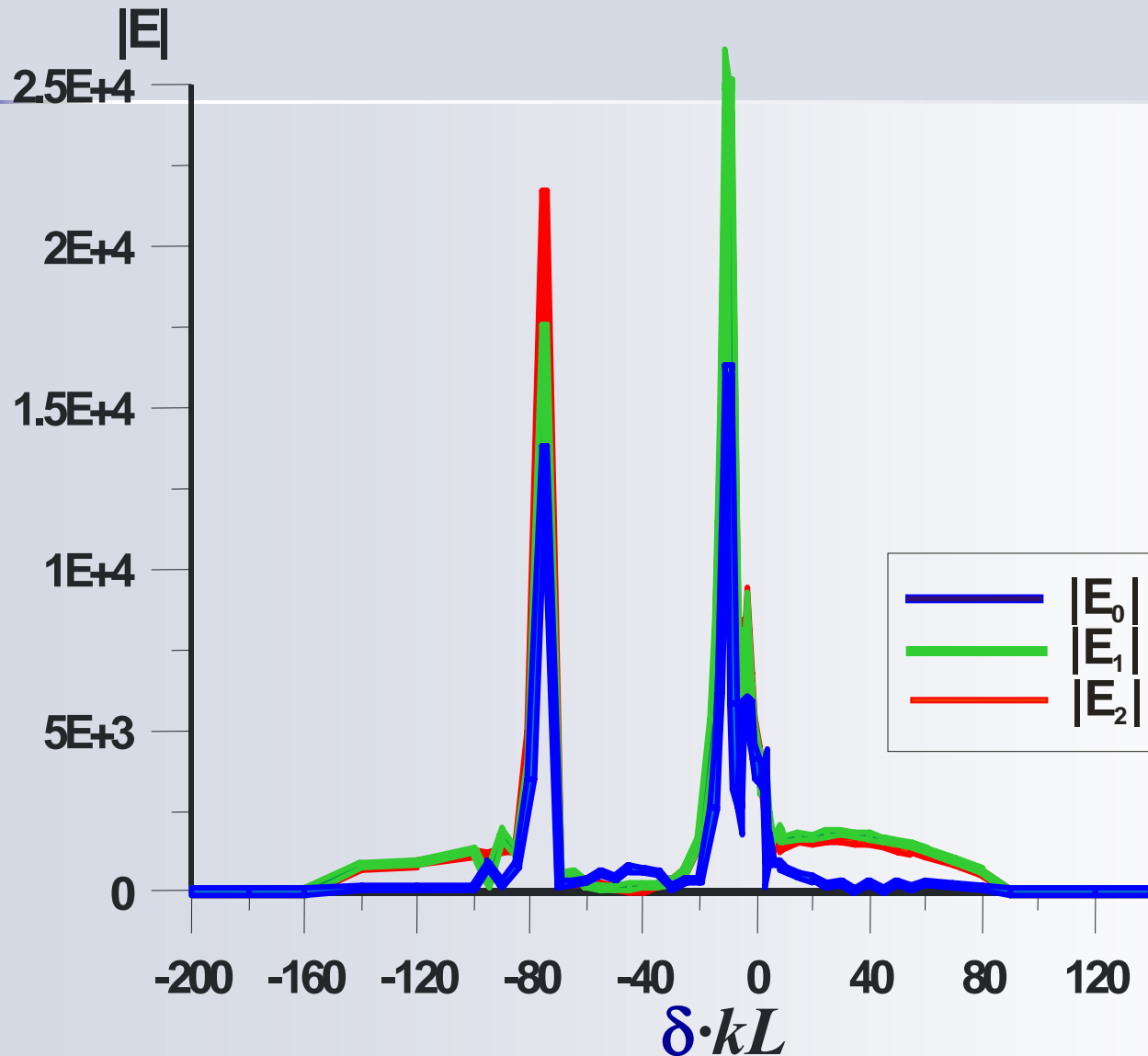
Two-root degeneration case:

$$\beta_1 \beta_2 l_1 l_2 + (\beta_1 l_1 + \beta_2 l_2) l_0 - \beta_1 \beta_2 r_{12} - \beta_1 r_1 - \beta_2 r_2 = 0$$

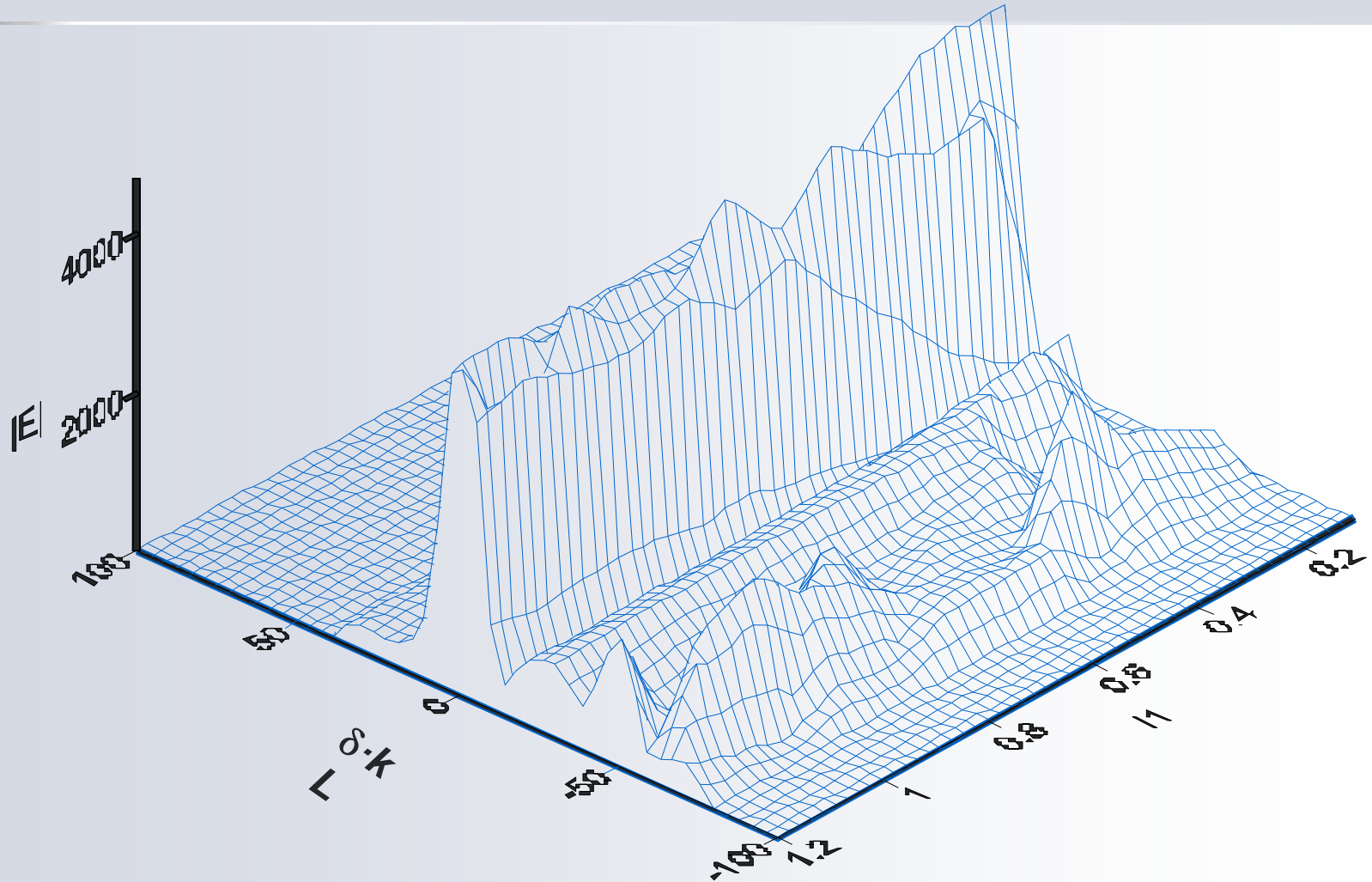
Three-root degeneration case:

$$\beta_1 l_1 + \beta_2 l_2 + l_0 = 0$$

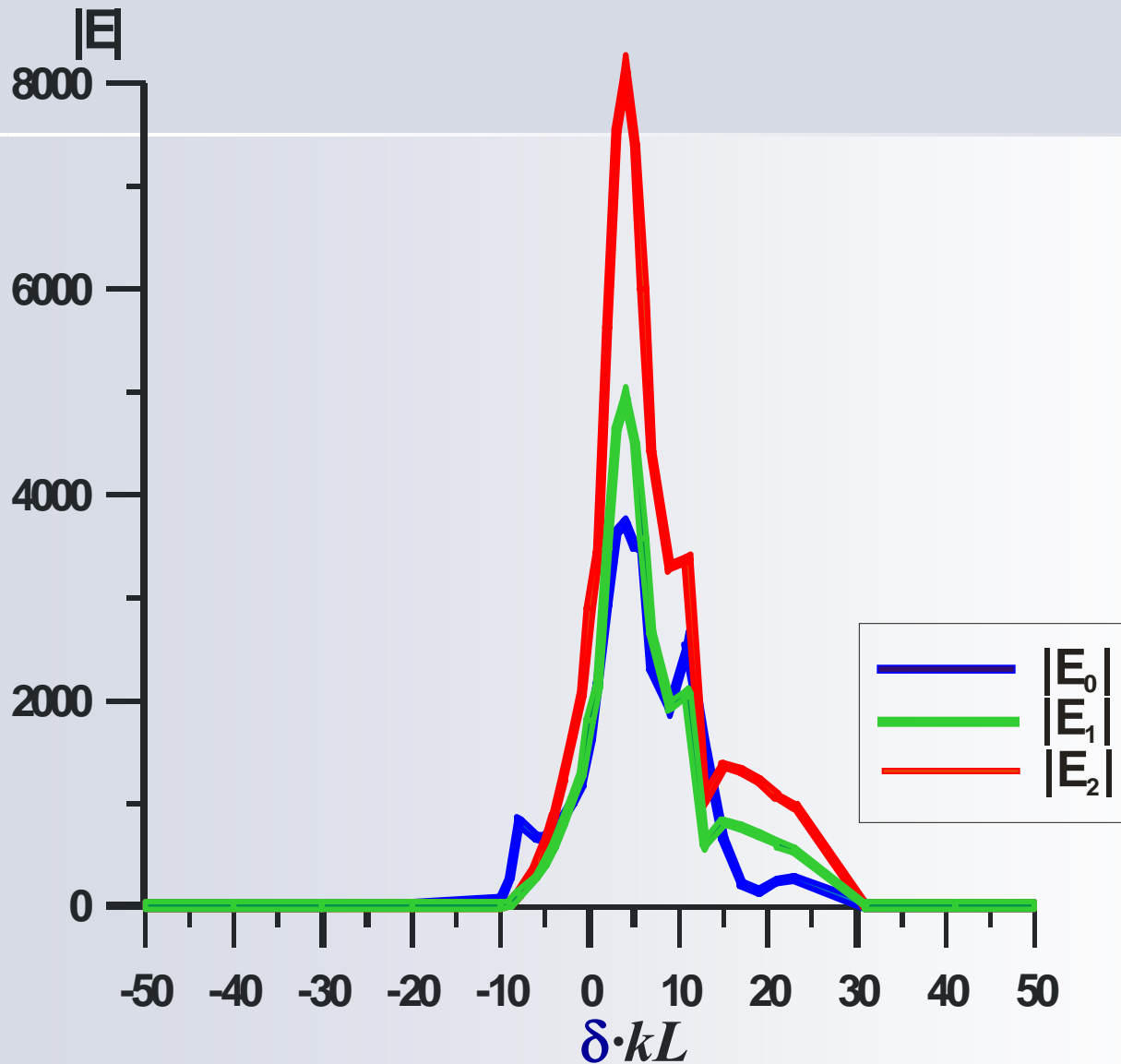
One-mode synchronism, dependence on detuning from exact Cherenkov condition δ



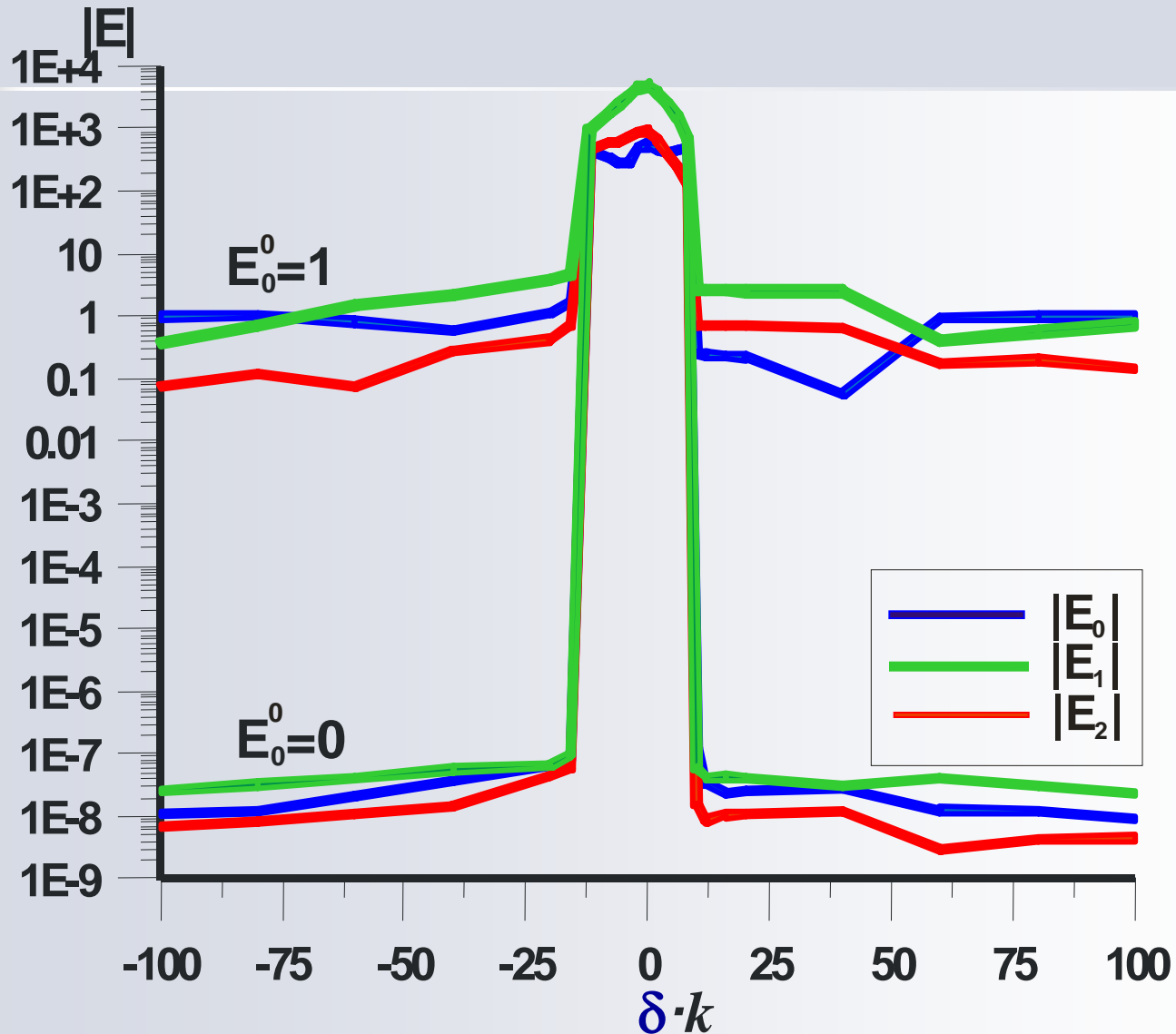
One-mode synchronism, dependence on δ and system parameter I_1



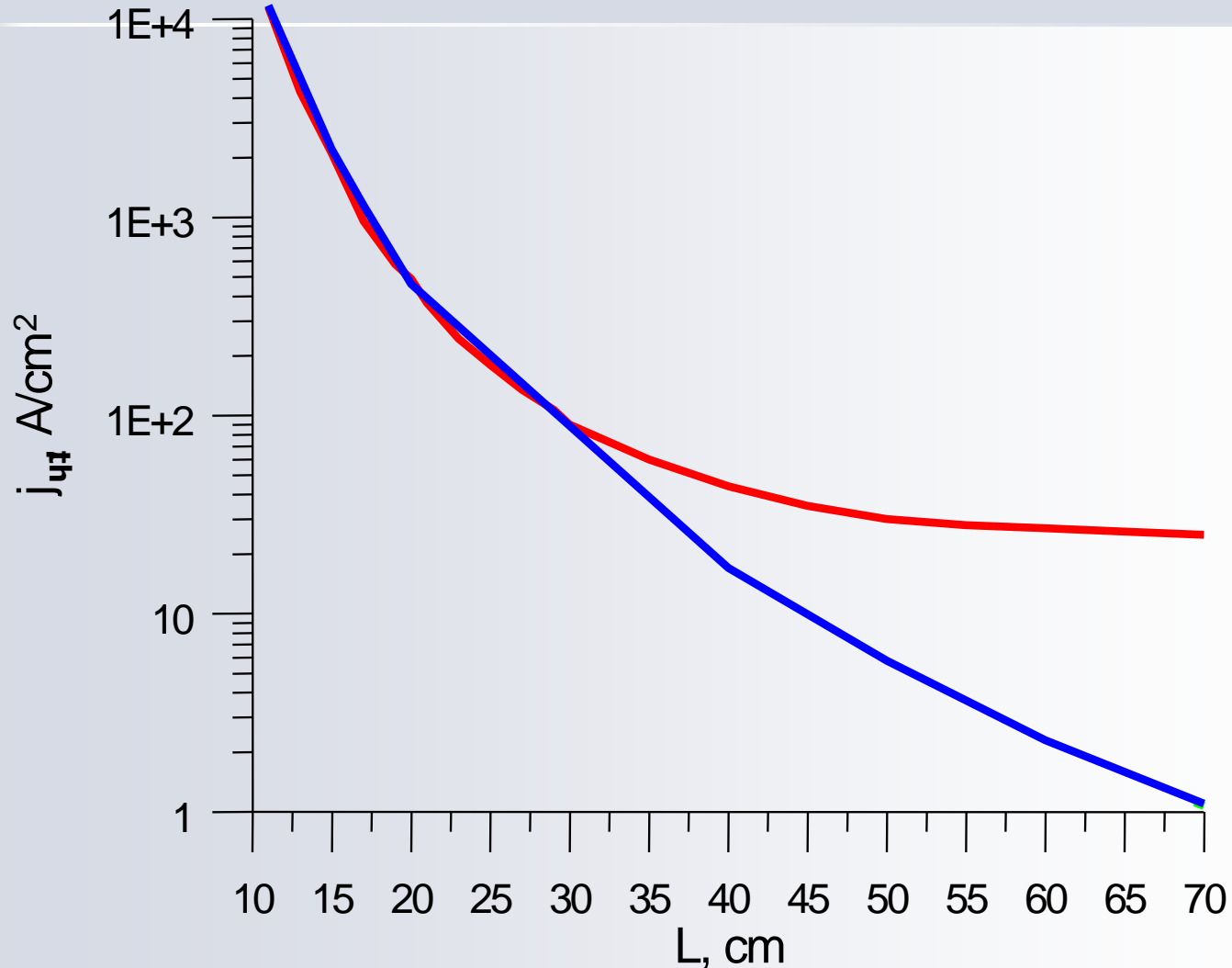
Two-root degeneration case



Three-root degeneration case



Current threshold for two- and three-wave geometry in dependence on L



References (VFEL theory and experiment)

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- ❖ Batrakov K., Sytova S. Nonlinear analysis of quasi-Cherenkov electron beam instability in VFEL (Volume Free Electron Laser). ***Nonlinear Phenomena in Complex Systems*, 8 : 1**(2005) 42–48
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